

PTO/SB/21 (08-00)

Approved for use through 10/31/2002 OMB 0651-0031

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|                             |  |   | Filing Date  |   | Jun 20, 2001 |   |  |  |  |
|                             | (to be used for a  | Il correspondence after   | initial filing)  | First Named Inve  | ntor         | Mak, Alfred W.  |  |  |  |
|                             |  |   |  | Group Art Unit  |              | 2812  |  |  |  |
|                             |  |   |  | Examiner Name   |              | Unassigned  |  |  |  |
|                             | Total Numb   | per of Pages in This Sub  | mission  | Attorney Docket N   | umber        | 5351/AMI-00-12  |  |  |  |
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| Firm<br><i>or</i><br>Indivi | Law Onice of Kennein C. Brooks   |   |  |   |              |   |  |  |  |
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| Date                        |  | November 8, 2001  |  |   |              |   |  |  |  |
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mak et al. Serial No: 09/885,609 Filed: June 20, 2001 PATENT APPLICATION Group Art Unit: 2812 Examiner: unassigned

For: SYSTEM A

SYSTEM AND METHOD TO FORM A COMPOSITE FILM STACK

UTILIZING SEQUENTIAL DEPOSITION TECHNIQUES

## Supplemental Information Disclosure Statement

Hon. Commissioner for Patents Washington, D.C. 20231

Sir:

The following information is submitted in compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56. A copy of each reference recited below accompanies this document. Also enclosed are two (2) sheets of PTO form 1449 listing these references.

## PATENT DOCUMENT

Patent Number <u>Inventor</u>

Grant Date

5,580,380 Liu et al.

03-Dec-96

PCT\_DOCUMENT

Publication No.

Inventor

**Publication Date** 

WO 98/51838

Rajagopalan et al.

19-Nov-98



## NON-PATENT DOCUMENT

Kitagawa et al. <u>Hydrogen-Mediated Low-Temperature Epitaxy of Si in Plasma-Enhanced Chemical Vapor Deposition</u>, Applied Surface Science, pp. 30-4 (2000).

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

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Typed Name: Jennifer Bonham

Date:

Respectfully submitted,

Kenneth C. Brooks
Reg. No. 38,393

of

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PTO/SB/08A (08-00) Applied for use through 10/31/2002 OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Attorney Docket Number | 5351/AMI-00-12

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|                        |                            |        | (RADEM)                    | Application Number                       | 09/885,609                                  |  |  |  |
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| STATEMENT BY APPLICANT |                            |        |                            | First Named Inventor                     | Mak et al.                                  |  |  |  |
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|                        | (use as many sheets        | as ned | cessary)                   | Examiner Name                            | unassigned                                  |  |  |  |
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| Examiner<br>Initials* | Cite<br>No  | U.S. Patent Document Kind Code* Number (if known) |                   | Name of Patentee or Applicant of Cited Document | Cited Di     | Date of Publication of<br>Cited Document<br>MM DD-YYYY |              | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |              |
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| Examiner<br>Initials* | Cite<br>No. | 3   | mber⁴ (if ki      | Code Name of Patentee or Cited Docum            |              | Cited Do   | cument       | Where Relevant<br>Passages or Relevant<br>Figures Appear                           | Τ'           |
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| Examiner  | Date       |  |
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<sup>\*</sup>EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Drawline through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>\*</sup>Unique citation designation number \*\*See attached Kinds of U.S. Patent Documents \*\* \*\*Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) \*\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document \*\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. Applicant is to place a check, mark here if English language Translation is attached.

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| STA    | ATEMENT BY            | APPLICA       | ÑT       | First Named Inventor   | Mak et al.     |  |  |  |
|        |                       |               |          | Group Art Unit         | 2812           |  |  |  |
|        | (use as many sheets   | as necessary) |          | Examiner Name          | unassigned     |  |  |  |
| Sheet  | 2                     | of 2          |          | Attorney Docket Number | 5351/AMI-00-12 |  |  |  |

| OTHER PRIC         | OR ART -     | NON PATENT LITERATURE DOCUMENTS   |                |
|--------------------|--------------|---|----------------|
| Examiner Initials* | Cite,<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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